

SCHOOL OF GRADUATE STUDIES GRADUATE STUDENT HANDBOOK

This handbook is published by the School of Graduate Studies of the University of North Dakota under the authority of the Dean. It is considered a supplement to the UND Undergraduate and Graduate Catalog. The handbook is intended to guide University of North Dakota graduate students through the procedures and processes of the School of Graduate Studies. The School of Graduate Studies is dedicated to serving students as they progress through their programs. One of its missions is to ensure that standards are being met. This handbook is a step-by-step guide for students who strive to meet those standards. Any exception to these policies must be approved by the School of Graduate Studies Dean.

**For additional information and forms
please visit our website:
GRADSCHOOL.UND.EDU**

UND is an equal opportunity/affirmative action institution (for additional information on Equal Opportunity policies and procedures, see UND catalogs and other major printed pieces). The ADA Accessibility Line is available to report disability access problems on campus, 701-777-2796 (TDD) or 701-777-2591 (Voice).

UNIVERSITY OF NORTH DAKOTA MISSION STATEMENT

The University of North Dakota, as a member of the North Dakota University System, serves the state, the country, and the world community through teaching, research, creative activities, and service. State-assisted, the University's work depends also on federal, private, and corporate sources. With other research universities, the University shares a distinctive responsibility for the discovery, development, preservation, and dissemination of knowledge. Through its sponsorship and encouragement of basic and applied research, scholarship, and creative endeavor, the University contributes to the public well-being.

The University maintains its original mission in liberal arts, business, education, law, medicine, engineering and mines; and has also developed special missions in nursing, fine arts, aerospace, energy, human resources, and international studies. It provides a wide range of challenging academic programs for undergraduate, professional and graduate students through the doctoral level. The University encourages students to make informed choices, to communicate effectively, to be intellectually curious and creative, to commit themselves to lifelong learning and the service of others, and to share responsibility both for their own communities and for the world. The University promotes cultural diversity among its students, staff and faculty.

In addition to its on-campus instructional and research programs, the University of North Dakota separately and cooperatively provides extensive continuing education and public service programs for all areas of the state and region.

SCHOOL OF GRADUATE STUDIES MISSION STATEMENT

The School of Graduate Studies has responsibility for all graduate work at the University except for that leading to the Doctor of Medicine (M.D.) and Juris Doctorate (J.D.). It is the purpose of the School of Graduate Studies to provide opportunity for advanced study beyond the limits of undergraduate courses, to make available the resources of the University in such combinations as will meet the occupational, intellectual, and cultural needs of qualified post-baccalaureate students, and to encourage original investigation and creative scholarship. The University of North Dakota offers the largest and most diversified graduate school in the region. A number of unique facilities and support resources augment the instructional and research program. In addition, the School of Graduate Studies offers extensive off-campus program offerings through the Division of Continuing Education.

STUDENT RESPONSIBILITY

It is the responsibility of the student to become informed and to observe all regulations and procedures required by the University, the School of Graduate Studies Catalog and the program in which she or he is enrolled. The student is responsible for reading the Graduate Catalog, all contracts for employment, the terms and conditions of any awards and correspondence from the various offices of the University. The student is responsible for knowing his or her academic standing and grade-point average. While the School of Graduate Studies attempts to notify students regarding any problems in the student's progress toward a degree, the student alone is responsible for maintaining satisfactory academic standing and progress.

The School of Graduate Studies expects all students and faculty to be aware of its policies and procedures. Ignorance of a rule does not constitute a basis for waiving that rule.

CODE OF STUDENT LIFE

The *Code of Student Life* serves to enrich the University experience and as a guide for the University disciplinary/conduct system. The *Code* addresses the rights and responsibilities of all UND students and includes processes intended to ensure fair treatment of all students. We take these policies and processes seriously and believe it is our responsibility to help students learn about the choices they make, about the consequences for their decisions, and about how to respect others.

Step One: Advisor or Chair of Committee

Recommended Time Frame: First Semester

Advisor

Students are appointed an advisor when admitted to the program. When forming a committee or making a change to your advisor, use the form titled: “***Master’s Degree – New Committee or Change to Advisor or Committee***”. Your advisor/chair must be a member of the Graduate Faculty. The list of Graduate Faculty is available on our website (faculty-staff/resources)

Be prepared to share your research interests with your advisor. Although your research interests may evolve, you should have a clear sense of what you want to study.

New Committee or Change to Advisor or Committee

Complete the form “***Master’s Degree – New Committee or Change to Advisor or Committee***.” Please have the faculty member(s) sign. * **The graduate director of your department also needs to sign or it cannot be processed!** Forward this form to the School of Graduate Studies for final approval from The Dean of the School of Graduate Studies.

Choose your advisor/chair wisely, in consultation with your program’s Graduate Director, as you will be working closely with your advisor/chair for as long as it takes you to finish your degree. Questions you may want to consider when choosing your advisor/chair include the following:

What are the professor’s academic interests? Do they match my own?

It is common sense to choose faculty who have similar academic interests as you. For example, if you study the Vietnam War, why would you choose someone who specializes in medieval history?

If you are not sure whether faculty have expertise in your specific topic of interest — ask. You may also want to ask for a list of his/her recent and past publications. Reading faculty’s own work is the best way to determine his/her academic interests. If your interests do not match, he/she will likely suggest someone else with whom you should work.

***How many other graduate students does the professor advise? Will he/she have time for you?
What has the experience of other students who have selected professors as their advisor/committee been?***

It is important that you find out how much time the professor has for each of his/her students. A professor who is spread too thin may be a source of frustration for you.

If possible, talk with other students who have worked with the professor you are considering. Keep in mind, however, that some personalities simply do not mix well together. You will not necessarily have the same experience with a certain professor as that of another graduate student. Do not base your decision about a faculty member on one person's opinion. Again, common sense is key here. You may find and look over past theses, dissertations, and independent studies. Find out from the professor who you are considering, what his/her recent graduates are doing now. A good advisor will be able to share some success stories.

Do I get along with this Professor?

It is of absolute importance to have a cordial and professional relationship with your faculty advisor/chair. You will be spending far too much time with one another over the course of several years to have a contentious relationship. That having been said, do not select faculty solely because he/she is a nice person.

*Although it may be more enjoyable to work with someone who is amiable, do not choose someone on the basis of personality. You need to select a person who you can guide you along and who is the best fit for you academically and professionally.

Will the professor speak honestly with me about my progress and ideas?

A good advisor/chair will have clearly articulated and realistic standards. You need to select someone who will support you and your efforts, but who is also willing to offer his/her honest opinion and criticism, whether or not you want to hear it.

Will the professor offer ideas and suggestions related to my research?

When you visit with them are new ideas generated? Do the two of you talk about your topic with enthusiasm? Master's theses take time to complete. Make sure you have a topic that is stimulating and an advisor/committee who is interested in it. Although you will probably not know the answers to all of these questions, they are important issues to consider. Talk to your Graduate Program Director. Talk to other graduate students and, if necessary, talk to other professors who you trust. Perhaps the best way is to visit with the members of your department. Ask to set up a brief meeting with various professors, visit with them in the hall, pull them aside after class, etc. Make an informed decision.

Step Two: (Master's) Select the Members of Your Faculty Advisory Committee (thesis)

Recommended Time Frame: Second Semester

Once you have selected the chair of your committee, the two of you must decide who will make up your Faculty Advisory Committee. Before you complete Step Three in the School of Graduate Studies process (submitting your program of study), you will need to formalize your committee.

Thesis Option

You and your advisor need to select at least two other graduate faculty members to serve on your committee. Three committee members including your chair are standard. If your program of study includes a minor, one of your three-committee members must be from that respective department.

Non-thesis Option (Independent Study/ Scholarly Project/ Project Design)

If you have chosen the non-thesis option, you need only an advisor.

Step Two: (Doctoral) Select the Members of Your Faculty Advisory Committee

Recommended Time Frame: Second Semester

Once you have selected the chair of your committee, the two of you must decide who will make up your Faculty Advisory Committee. Before you complete Step Three in the School of Graduate Studies process (submitting your program of study), you will need to formalize your committee.

Doctor of Philosophy Doctor of Education

As a Ph.D. or Ed.D. student, you need to select four of the five members of your Faculty Advisory Committee. The fifth member will be appointed by the Dean of the School of Graduate Studies as one from outside of your department - a member-at-large. You may suggest the fifth member for the Dean's approval. The member-at-large serves as a representative of the School of Graduate Studies and thus has the added responsibility of ensuring that the policies and procedures of the School of Graduate Studies are being followed.

Teaching & Learning, Educational Foundations & Research, Nursing, Counseling Psychology (Ph.D. or Ed.D.)

If you are a Ph.D. or Ed.D. student within the Department of Teaching and Learning, Educational Foundations & Research, Nursing, or Counseling Psychology you are allowed to have only four members on your committee. Three members may be from within your department. The fourth member serves as member-at-large. You may suggest someone as your member-at-large, but if the Dean does not have your recommendation, he will appoint someone for you.

Doctor of Arts

As a D.A. student, you have the option of putting together a three or five-member committee. On five member committees, the fifth member will be appointed by the Dean of the School of Graduate Studies as one from outside of your department - a member-at-large. You may suggest the fifth member, but the Dean does not have to accept your recommendation. The member-at-large serves as a representative of the School of Graduate Studies and thus has the added responsibility of ensuring that the policies and procedures of the School of Graduate Studies are being followed.

The Dean of the School of Graduate Studies recommends that you convene your Advisory Committee at least once every six months. Discuss your progress and any potential research problems with your Advisory Committee early and often.

Remember, your Advisory Committee is there to help you!

Step Three: (Master's) Submit Your Program of Study

Recommended Time Frame: Second Semester

Your Program of Study is a listing of the courses and credits you need to take in order to meet the requirements for your degree and major (your area of concentration). In addition to a major, some students elect to obtain a minor (a concentrated study in a specific supporting field) or to take courses in a cognate area (a selection of courses providing broad support to the major). The courses for your major, minor, and/or cognate are to all be included in your Program. All courses need to be approved for graduate credit unless in a cognate area.

It is your responsibility to know what the course and credit requirements are for your department. Review the "Departmental Programs" section of the Graduate Student Catalog for detailed information regarding your requisite courses. You should also consult your advisor or the departmental Graduate Director when preparing your Program of Study.

Number of credits required for UND graduate degrees

Keep in mind that credits vary with each department. Check the degree requirements for your program in the Academic Catalog. **Do not enroll in more than 2 credits of 997 independent study or 995 scholarly project.**

General Guidelines

At least one-half of the credits for your degree must be in courses numbered 500 or higher. Keep your graduation date in mind, as most upper level courses are not offered every semester.

Only those UND courses listed in the Graduate Catalog are eligible for use on your program (except for courses used in a cognate area).

Minor

A minor is a concentrated study in a specific supporting field at the graduate level. A minor must be titled (a UND graduate program) and listed on the student's program of study and be approved by a Graduate Faculty member of the minor department/program. The minor will be listed on the student's transcript. Only the courses approved for graduate credit may be included in a minor.

Cognate

If you choose to have a cognate, all UND courses numbered 300 and higher listed in the Undergraduate and Graduate catalog are eligible. The number of credits required for a cognate varies from department to department. Check with your advisor or Graduate Director for the mandatory number of credits you need to complete.

If you choose to use 300 or 400 level courses for graduate credit, it is understood that you will be required to do additional work,

over and above that typically required of undergraduates. This additional work is typically of an independent nature. For more information, talk with the professor of the course. Be sure these courses are labeled as a “cognate” on your program of study.

Transfer credits

Graduate work is considered for transfer only on an individual basis and only after the student has completed satisfactory work in residence at UND. The transfer credits are approved by the student’s advisory committee and the Dean of the School of Graduate Studies and are included in the program of study for the UND graduate degree. Only those transfer credits will be recorded on the UND transcript. If you plan to include transfer credits in your program, make sure they can be applied to your degree. This is something you may want to double check. The Dean of the School of Graduate Studies has the final say on transfer credits.

Transfer courses must be listed on your program of study exactly as they appear on your transcript with the exception that quarter credits be converted into semester credits. If you have not yet completed the courses from which you will be transferring credits, list the institution they will be taken from next to the course.

Do not list more than the maximum number of acceptable transfer credits on your program. Up to 8-9 credits of *eligible* transfer work is acceptable with a grade of B or better. For detailed information, refer to the “Transfer of Graduate Credits” section in the Graduate Catalog. An official transcript should be sent to the School of Graduate Studies.

Non-degree credits

You can apply up to nine graduate non-degree credits to your degree if they are approved on your program of study.

Over-age and Obsolete courses

Graduate courses more than seven years old are considered obsolete and may not be included on your program of study. However, you may revalidate a UND course by submitting a plan of study for approval to the Dean of the School of Graduate Studies. If you plan to revalidate any UND courses, a revalidation plan must be submitted to the School of Graduate Studies Dean before the revalidation process is undertaken. Your revalidation plan must involve the professor with whom you took the course or a faculty member with similar expertise if the original professor is no longer at UND. Attach the revalidation plan to your Program of Study for approval if you want the

course(s) to be applied to your degree.

Signatures

Non-thesis /Independent Study:

Advisor and Graduate Director

If you declare a minor you also need the Graduate Director of that department to sign the program.

Thesis:

Advisory Committee

If you declare a minor, one committee member must be from the minor department

The final step is to submit your Program of Study to the School of Graduate Studies for the Dean's approval

A copy will be sent to you and your advisor once approved.

Changes to a Program of Study

After consulting with your advisor, fill out the form "***Changes to a Program of Study***". After your advisor signs the form, submit it to the School of Graduate Studies for the Dean's approval.

Please do NOT submit a new Program of Study unless there are major changes.

Changing from thesis to non-thesis option:

Think about your education and career goals before determining whether you will choose the thesis or non-thesis option (if this choice is available). If you change from a thesis to a non-thesis option, you need to submit a new program of study, as well as a new topic proposal and advisor appointment. This change requires the approval of the Dean and must be clearly justified.

Do not register for your thesis or independent study credits until you are sure which option you are choosing. Any changes to a transcript require an administrative procedures petition (AdPro). A permanent SP or UP for thesis credits already taken will be recorded on your transcript.

Step Three: (Doctoral) Submit Your Program of Study

Recommended Time Frame: Second Semester

Your Program of Study is a listing of the courses and credits you need to take in order to meet the requirements for your degree and major (your area of concentration). In addition to a major, some students elect to obtain a minor (a concentrated study in a specific supporting field) or to take courses in a cognate area (a selection of courses providing broad support to the major). The courses for your major, minor, and/or cognate are to all be included in your Program.

It is your responsibility to know what the course and credit requirements are for your department. Review the “Departmental Programs” section of the Graduate Student Catalog for detailed information regarding your requisite courses. You should also consult your advisor or the departmental Graduate Director when preparing your Program of Study.

Number of credits required for UND graduate degrees:

(Keep in mind that credits vary with each department. Check the degree requirements for your program in the Academic Catalog)

Doctor of Philosophy - 90 semester credits beyond the Bachelor’s degree, including acceptable master’s degree work (30 credits) from an accredited North American institution, and the submission of an acceptable dissertation.

Doctor of Arts (Available only in History) - 90 semester credits beyond the Bachelor’s degree

Doctor of Education - 96 semester credits beyond the Bachelor’s degree

Doctor of Physical Therapy – *Please refer to the UND academic catalog for program requirements, as they differ from the other doctoral programs.*

General Guidelines:

A substantial portion of the credits for the program are often devoted to independent research, the results of which are to be incorporated in the dissertation.

Major / Minor / Cognate

The program will include work in one major department and should include work in one or more related departments, i.e., either a minor or cognate area, but at least one-half of the work must be in the major field.

Transfer credits

If you plan to include transfer credits in your program, make sure they can be applied to your degree. This is something you may want to double check with your Advisor and/or The School of Graduate Studies.

Transfer courses must be listed on your program of study exactly as they appear on your transcript with the exception that quarter

credits be converted into semester credits. If you have not yet completed the courses from which you will be transferring credits, list the institution they will be taken from next to the course. For detailed information, refer to the “Transfer of Graduate Credits” section in the Graduate Catalog. An official transcript should be sent to the School of Graduate Studies.

Scholarly Tools

Each department has specific scholarly tools (languages, math, statistics, computer programming, etc.) required for study and research in the discipline. This requirement must be met before you are permitted to take the comprehensive examination for the degree or become a candidate for the degree.

Non-degree credits

You can apply nine graduate non-degree credits to your degree if they are approved on your program of study.

Obsolete and over-age courses

Graduate courses more than seven years old are considered obsolete and may not be included on your program of study. However, you may revalidate a UND course by submitting a revalidation plan. If you plan to revalidate any UND courses, complete the revalidation form on our website. A revalidation plan must be submitted to the Dean before the revalidation process is undertaken. Your revalidation plan must involve the professor with whom you took the course or a faculty member with similar expertise if the original professor is no longer at UND. Attach the revalidation plan to your Program of Study for approval if you want the course(s) to be applied to your degree.

Courses that were part of a completed prerequisite graduate degree program do not become overage.

Regulatory Compliance

If you plan to conduct research on human subjects, you must first receive approval from the Institutional Review Board (See Step 5). You are not allowed to conduct research until you receive this approval. Forms and information are available at the Office of Research Development & Compliance (777-4278) or <http://und.edu/research/resources/index.cfm>.

Keep in mind that you must complete the appropriate training to do human subjects research. Research involving animals, recombinant DNA, radiation, and bio-hazardous agents also require special approval. Please consult with your advisor regarding approval of such research.

Organization of your Program of Study

Group your courses into the appropriate sections and supply a title for each one: major, minor, cognate, foundations, etc. Leave some space between your sections to allow for any future minor revisions. Be sure you have included the number of required credits in the total program, the major, the minor, the cognate, and the foundations areas. Make sure to list the credit amount for each course in the appropriate column.

The final step is to submit your Program of Study to the School of Graduate Studies for the Dean's approval.

All the member of your Advisory Committee must sign the program of study. A copy will be sent to you and your advisor once approved.

Changes to your Program of Study

After consulting with your advisor, fill out the form "*Changes to a Program of Study*". After your advisor signs the form, submit it to the School of Graduate Studies for the Dean's approval.

Please do NOT submit a new Program of Study, unless there are major changes.

Step 4: (Master's) Submit a Proposal of Your Research Topic

Recommended Time Frame: As soon as possible, but no later than the semester prior to your final semester

The Dean of The School of Graduate Studies recommends that you convene your Advisory Committee at least once every six months. Discuss your progress and any potential research problems with your Advisory Committee early and often.

Remember, your Advisory Committee is there to help. You should submit your research proposal at the beginning of your research, not the end.

Your proposal of a topic for an independent study, research project, or thesis, must be approved by your Faculty Advisory Committee and the Dean of The School of Graduate Studies before you can become a candidate for your degree (Step 5). You cannot graduate in the same semester or summer session in which you become a candidate and therefore **you must receive approval on your proposal prior to your final semester**. It is important that you do not waste time and energy by undertaking substantial work on a topic that has not yet been approved. Work with your advisor to determine what requirements your department needs to submit the topic proposal.

The earlier you submit your proposal the better. You should expect to go through many drafts of your project, whether it is a thesis or independent study. This is often frustrating for students, for it can and often does delay their planned graduation date. The sooner you know what you are researching, the sooner you can begin your project, and the sooner you can begin making revisions. When possible, plan ahead!

Regulatory Compliance

Students need approval from the appropriate institutional oversight committee if the topic involves any of the below listed research. Your Topic Proposal will not be approved by the School of Graduate Studies until this approval is received.

Office of Research Development & Compliance (777-4278) or <http://und.edu/research/resources/index.cfm>.

For information on:

IRB (*Institutional Review Board*) if the research involves human subjects.

IBC (*Institutional Biosafety Committee*) if the research involves the use of recombinant DNA or biohazardous materials.

If you plan to conduct research on human subjects, you must first receive approval from the Institutional Review Board (See Step 4). You are not allowed to conduct research until you receive this approval. Forms and information are available at the Office of Research Development & Compliance (777-4278) or

<http://und.edu/research/resources/index.cfm>.

Keep in mind that you must complete the appropriate training to do human subjects research. Research involving animals, recombinant DNA, radiation, and bio-hazardous agents also require special approval. Please consult with your advisor regarding approval of such research.

Plan your Topic Proposal

Your topic proposal is intended not only to give you direction, but also to aid your advisor and advisory committee in determining whether or not the problem or study is appropriate for the degree you are seeking. It is wise to consult with your advisor as you write your topic proposal. He/She should be able to advise you on the practicality and pertinence of your project. Your advisor should also be able to offer suggestions on how to conduct research on your topic and to help you think about what kind of results you might find.

Write your Topic Proposal in Narrative Style

Once you have a solid idea of what your research project will be, you need to describe it in a narrative style on the appropriate form. Your proposal should be lucid and concise - no more than one page in length.

You will be asked to provide the following items in narrative form:

- 1) A title (it can be changed at a later date)
- 2) A brief description of the nature of the problem or study
- 3) The procedure or methodology to be followed
- 4) The anticipated results

Signatures Required

After you have written the proposal, you need to secure the signatures of your Faculty Advisory Committee. It is necessary to have your advisor sign first. Some departments have processes by which they handle the topic proposal. Talk to your advisor. Non-thesis students need only their advisor's signature. Approved copies of the proposal will be sent to you, your advisor, and the department from The School of Graduate Studies.

Step 4: (Doctoral) Comprehensive Exams for Doctoral Students

Recommended Time Frame: To be taken once a substantial amount of coursework and scholarly tools have been completed.

Students seeking a Doctor of Arts, Doctor of Education, or Doctor of Philosophy degree must take a written examination before they advance to candidacy. Each department has the option of giving an oral examination as well. You may take your exams once you have completed a substantial amount of your coursework (check with your department or advisor for more information). If you are a Ph.D. or Ed.D. student, you must also complete the scholarly tool requirements prior to your exams. Check with your department or advisor for more information on exam dates.

Content

The content of the examination will be determined by the Graduate Faculty of your respective department. It will be extensive and will cover the field or fields in which your degree is taken. If you do not pass your comprehensive exams, you may repeat them no earlier than the next regularly scheduled offering and only with the prior approval of your advisory committee, your department, and the Dean of the School of Graduate Studies.

Application to take comprehensive exams

In order to take your exams, the Department and the School of Graduate Studies needs to confirm that you are eligible. You must apply to take the exam on the form titled “Doctoral Comprehensive Examinations”. You will need to have completed a substantial amount of your coursework, you will need to be in Approved Status, your Program of Study has to be approved, and you need to have completed your scholarly tool requirements. Once the Department and the School of Graduate Studies has ensured that you have completed these steps, they will certify your eligibility and will forward the comprehensive examination form to your committee chair. Keep in mind that you may not take your exams until this certification has been provided. You may want to plan ahead, so as to ensure that the Department and the School of Graduate Studies is able to process your application by the date on which you intend to take your exams.

Step 5: (Master's) Advancement to Candidacy

*Recommended Time Frame: No later than the semester
before you plan to graduate*

There are a number of steps that you must fulfill before you can advance to candidacy. The Program Director, your advisor, or The School of Graduate Studies can provide specific information about these steps.

There is no separate form to fill out for advancement to candidacy.

These requirements must be completed before you are advanced to candidacy:

Thesis and Non-Thesis Options

- 1) You must be in approved status.
- 2) You need to have a Faculty Advisor and/or Committee appointed by the Dean upon the recommendation of the Graduate Director from your department.
- 3) Your Program of Study must be approved by the School of Graduate Studies.
- 4) Your Thesis/Independent Study/Scholarly Project Proposal must be approved by the School of Graduate Studies.
- 5) You need to have completed at least 12 graduate credits.
- 6) Your GPA for all the coursework you attempted must be at least 3.00.

Step 5: (Doctoral) Submit a Proposal of Your Research Topic

*Recommended Time Frame: As soon as possible,
at least six months before graduation*

The Graduate Dean recommends that you convene your Advisory Committee as early as possible to discuss your proposed research. Their input can be invaluable and save you time and effort.

Your proposal of dissertation, must be approved by your Faculty Advisory Committee and the Dean of the School of Graduate Studies before you can become a candidate for your degree (Step 6). You cannot graduate in the same semester or summer session in which you become a candidate. You must receive prior approval on your topic proposal. You should submit your research proposal at the beginning of your research, not the end. This requirement is in your best interest, for it is important that you do not waste time and energy by undertaking substantial work on a topic that has not yet been approved. Work with your advisor to determine what requirements your department needs to submit the topic proposal. Some departments require students to write specific chapters of the dissertation and to conduct a literature review prior to submitting a topic proposal.

The earlier you submit your proposal the better. You should expect to go through many drafts of your project. This is often frustrating for students, for it can and often does delay their planned graduation date. The sooner you know what you are researching, the sooner you can begin your project, and the sooner you can begin making revisions. When possible, plan ahead.

Regulatory Compliance

Students need approval from the appropriate institutional oversight committee if the topic involves any of the below listed research. Your Topic Proposal will not be approved by The School of Graduate Studies until this approval is received.

Office of Research Development & Compliance (777-4278) or
<http://und.edu/research/resources/index.cfm>.

For information on:

IRB (*Institutional Review Board*) if the research involves human subjects.

IBC (*Institutional Biosafety Committee*) if the research involves the use of recombinant DNA or biohazardous materials.

Research involving animals, recombinant DNA, radiation, and bio-hazardous agents also require special approval. Please consult with your advisor regarding approval of such research.

Plan your Topic Proposal Your topic proposal is intended not only to give you direction, but also to aid your advisor and advisory committee in determining whether or not the problem or study is appropriate for the degree you are seeking. It is wise to consult with your advisor as you write your topic proposal. He/She should be able to advise you on the practicality and pertinence of your project. Your advisor should also be able to offer suggestions on how to conduct research on your topic and to help you think about what kind of results you might find.

Write your Topic Proposal in Narrative Style Once you have a solid idea of what your research project will be, you need to describe it in a narrative style on the appropriate form. Your proposal should be lucid and concise - no more than one page in length. You will be asked to provide the following items in narrative form:

- 1) A title (it can be changed at a later date)
- 2) A brief description of the nature of the problem or study
- 3) The procedure or methodology to be followed
- 4) The anticipated results

Signatures Required After you have written the proposal, you need to secure the signatures of your Faculty Advisory Committee. It is necessary to have your advisor sign first. Approved copies of the proposal will be sent to you, your advisor, and the department from the School of Graduate Studies.

Step 6: (Master's) Apply to Graduate

Recommended Time Frame: You must submit this by the published deadline for the term in which you plan to graduate

Apply to Graduate: Online Application

The on-line graduation application can be found on our website under the Current Student Forms. Only students that have been advanced to candidacy can apply to graduate. When you submit a graduation application, notification is sent to your advisor, The School of Graduate Studies, and the Registrar's Office. The deadlines to apply for graduation are published in the graduate catalog, the campus connection Dates & Deadlines, the School of Graduate Studies website. Please be sure you adhere to these deadlines.

You must be registered the term in which you graduate.

You must also be registered the term in which you graduate. If you have taken all your coursework, you may enroll in 996 continuing enrollment. You will need to get the call number from your department.

If for some reason your graduation is delayed, please call The School of Graduate Studies to let us know that you want your name removed from the graduation list. You will then need to submit a new application for the next semester and will also need to be enrolled in at least one credit of continuing enrollment.

Step 6: (Doctoral) Advancement to Candidacy

Recommended Time Frame: At least one semester prior to graduation

There are a number of steps that you must fulfill before you can advance to candidacy. The Program Director, your Advisor, or the School of Graduate Studies can provide specific information about what these are. The School of Graduate Studies will send a status sheet to both you and your advisor when you become a candidate for your degree.

**Doctor of Arts,
Doctor of Education
Doctor of Philosophy**

These requirements must be completed before you advance to candidacy:

- 1) You need to have a Faculty Advisory Committee appointed by the Dean upon the written recommendation of the Graduate Director from your department.
- 2) You must be in approved status.
- 3) Your Program of Study must be approved by the School of Graduate Studies.
- 4) Your scholarly tools must be completed (prior to taking your comprehensive exams).
- 5) You must complete and pass your department's comprehensive exams.
- 6) You must complete a substantial portion of your coursework with a cumulative GPA of at least 3.00.
- 7) Your Dissertation or Research Project Proposal must be approved by the School of Graduate Studies.

**Doctor of Physical
Therapy**

These requirements must be completed before you advance to candidacy:

You must successfully maintain a cumulative Graduate School GPA of >3.00 AND/OR a summer session GPA of > 3.00 in the professional Physical Therapy program.

Successfully complete Comprehensive Practical Examination I. *Students must achieve the above candidacy requirements during the first year of the professional program. Failure will result in dismissal.*

The below listed requirements will also need to be completed before you are eligible to apply for graduation:

- 1) You need to have a Faculty Advisor appointed.
- 2) Your Program of Study must be approved by the School of Graduate Studies.
- 3) Your Scholarly Project Proposal must be approved by the School of Graduate Studies.
- 4) Successful completion of the Comprehensive Practical Examination II.

Doctor of Nursing Practice (DNP)

The below listed requirements need to be completed before you are eligible to apply for graduation.

- 1) You need to have a Faculty Advisor appointed.
- 2) Your Program of Study must be approved by the School of Graduate Studies.
- 3) Your Topic Proposal (DNP Capstone Final Project) must be approved by the School of Graduate Studies.

Step 7: (Master's) Thesis Preliminary Approval

(Non-thesis students skip to Step 11)

Recommended Time Frame: You must submit this by the published deadline for the term in which you plan to graduate

Before you can schedule your defense, you need to receive preliminary approval from your Faculty Advisory Committee. You should plan on distributing a preliminary draft of your work to your committee members at least eight weeks before your tentative defense date. You need to give each of them about a month to read and comment on your thesis. Let them know in advance when you plan on distributing your first draft so they can schedule reading time into their calendars.

Be sure to allow yourself enough time to make the changes suggested or required by your committee. At this stage the changes may be significant, so you want to make sure you will be able to address them fully. Once you have made the appropriate corrections and your committee has approved the changes, you may request that they sign the Preliminary Approval form. Ideally, it would be helpful to you to get the committee together to discuss your draft and to sign the Preliminary approval form. They should not sign the form until it has met their requirements.

The Preliminary approval form is for your protection.

When your committee signs this form, they are stating that they accept the content, organization, and style of your thesis. They are stating that you will not have to make any major changes or perform a new set of experiments for the final copy. Each committee member should read your thesis prior to signing the preliminary approval form.

If you miss this deadline you will be removed from the graduation list and you will have to apply for graduation again the next semester.

The Preliminary Approval form is available online at gradschool.UND.edu. **You must turn in this form by the published deadline.**

The form itself is fairly straightforward. Besides your name and the degree you intend to receive, you will be asked to provide the exact title of your thesis. After supplying the required information, secure the signatures of your committee members and submit the form to the School of Graduate Studies office by the published deadline.

Step 7: (Doctoral) Apply to Graduate

Recommended Time Frame: You must submit this by the published deadline for the term in which you plan to graduate

This is a simple, but essential step in the School of Graduate Studies process. It is of utmost importance that you **pay close attention to deadlines** at this stage in the game. A missed deadline will put you at risk for delaying your graduation date. Starting with your “Application for a Graduate Degree,” you need to make yourself aware of what paperwork is due and when you need to turn it in. This is your responsibility!

Apply to Graduate – Online Application

The on-line graduation application can be found on our website under the Current Student Forms. Only students that have been advanced to candidacy can apply to graduate. When you submit a graduation application, notification is sent to your Advisor, The School of Graduate Studies, and the Registrar’s Office. The deadlines to apply for graduation are published in the graduate catalog, the campus connection Dates & Deadlines and the School of Graduate Studies website. Please be sure you adhere to these deadlines.

You must be registered the term in which you graduate.

You must also be registered the term in which you graduate. If you have taken all your coursework, you may enroll in 996 continuing enrollment. You will need to get the call number from your department. A maximum of four regular semesters’ for doctoral students is allowed for 996 enrollment (6-9 credits).

If for some reason your graduation is delayed, please call The School of Graduate Studies to let us know that you want your name removed from the graduation list. You will then need to submit a new application for the next semester and will also need to be enrolled in at least one credit of continuing enrollment.

Step 8: (Master's) Final Defense of Your Thesis

Recommended Time Frame: At least two or three weeks before commencement

If you write a thesis, you are required to appear before your entire advisory committee for a concluding examination or defense before you submit your electronic final copy for publishing. Be aware of the deadlines for final submission of thesis when you schedule your defense. Academic calendars can be found in the Graduate Catalog, the campus connection Dates & Deadlines and on the graduate school website.

Schedule your defense

The first step is to have your advisor schedule your defense. You must complete the "Notice of Defense" form and secure the necessary signatures. This Notice of Defense form, along with the Preliminary Approval must be received at the School of Graduate Studies at least two weeks prior to your scheduled defense. Do not submit a **Notice of Defense** without Preliminary Approval. Talk over potential dates with your advisor and other committee members to ensure that you schedule an appropriate time for all involved.

Your entire committee must be physically present at your defense.

The candidate and committee members must be physically present at the defense unless the program has developed clear guidelines and instructions by which the candidate or committee members may participate at a distance using real-time synchronous technology. Any technology used to facilitate distance participation by the candidate or committee members must be supported by UND, capable of real-time audio and video, compatible with "presentation" software, such as PowerPoint, and must be open and accessible to the candidate, committee and non-committee members. Please refer to the UND Undergraduate and Graduate Catalog for full policy details.

What is included in the defense varies from department to department. Some departments have students give a summary of their thesis research in a short presentation with a question/answer period following. Your advisor should be able to help you prepare. Your Faculty Advisory Committee will conduct your examination, which will be written and/or oral and will include a defense of your thesis. You may also be required to take an exam based on the courses you completed for your degree. Check with your advisor to see what is expected of you. Your committee will indicate their endorsement of you and your work by signing the Approval Page of your thesis. The results of your defense must be certified by your committee on a form titled "Final Report on Candidate" by the deadline specified on the Academic Calendar (Step 13).

Step 8: (Doctoral) Preliminary Approval and Notice of Defense of Your Dissertation

Recommended Time Frame: You must submit this form by the published deadline for the term in which you plan to graduate

Before you can schedule your defense, you need to receive preliminary approval from your Faculty Advisory Committee. You should plan on distributing a preliminary draft of your work to your committee members at least eight weeks before your tentative defense date. You need to give each of them about a month to read and comment on your dissertation. Let them know in advance when you plan on distributing your first draft so they can schedule reading time into their calendars.

Be sure to allow yourself enough time to make the changes suggested or required by your committee. At this stage the changes may be significant, so you want to make sure you will be able to address them fully. Once you have made the appropriate corrections and your committee has approved the changes, you may request that they sign the Preliminary Approval form. Ideally, it would be helpful to you to get the committee together to discuss your draft and to sign the Preliminary Approval form. They should not sign the form until it has met their requirements.

The Preliminary Approval form is for your protection.

When your committee signs this form, they are stating that they accept the content, organization, and style of your dissertation. They are stating that you will not have to make any major changes or perform a new set of experiments for the final copy. Each committee member should read your dissertation prior to signing the preliminary approval form.

If you miss this deadline you will be removed from the graduation list and you will have to apply for graduation again the next semester.

The Preliminary Approval form is available on the graduate school website. **You must turn in this form by the published deadline.** The form itself is fairly straightforward. Besides your name and the degree you intend to receive, you will be asked to provide the exact title of your dissertation. After supplying the required information, secure the signatures of your committee members and deposit the form in the School of Graduate Studies office.

Notice of Doctoral Oral Defense (submit this form to the School of Graduate Studies two weeks before the defense)

Notice of Defense is due two weeks in advance of the defense date. All doctoral exams are published in the *University Letter* so that all interested faculty and the academic community may attend.

Step 9: (Master's)

Submit Electronic Thesis to UMI/ProQuest Publishing

Recommended Time Frame: You must submit your thesis by the published deadline for the term in which you plan to graduate

Electronic Submission

You will find all of the information you need on completing your thesis on the School of Graduate Studies website, from completing paperwork, to format checking and electronically submitting your work through ProQuest.

The [Overview of Manuscript Submission](#) page lets you know what you will need to do to prepare for your submission.

You will upload your Thesis in PDF format to the ProQuest ETD Administrator site. Please see the instructions on [How to Prepare your Manuscript for Submission](#)

The [Electronic Thesis Submission Guide for UND Graduate Students](#) will take you step by step through the ProQuest system.

After you have made the required changes from your committee, you will submit the final version of your document electronically to UMI/ProQuest at www.etsadmin.com/und.

Once you electronically submit your final copy for publishing, no changes are made to the format or content. Therefore, the burden of how the manuscript looks is entirely the responsibility of the student author.

Additional Copies

Check with your advisor, Program Director, or committee to see how many copies for your department are required. Many students also provide the members of their advisory committee with a copy of the final version of their theses. Some choose to have them hardbound, others do not. For information on binding, see the Chester Fritz Library Periodicals Department. (777-4646)

The Graduate Dean recommends that you keep at least one hard copy of your thesis for your records in addition to the electronic files. You may wish to refer to the document in the future.

Step 9: (Doctoral) Final Defense of Your Dissertation

Recommended Time Frame: At least two weeks before commencement

You are required to appear before your entire advisory committee for a concluding examination or defense before you turn in the final copy of your work to the School of Graduate Studies. Be aware of the deadlines for final submission of dissertations when you schedule your defense. Academic calendars can be found in the Graduate Catalog, the dates and deadlines and online at the graduate school website.

Schedule your defense

The first step is to have your advisor schedule your defense. Then you or your advisor must complete the "Notice of Defense" form and secure the necessary signatures. This Notice of Defense along with the Preliminary Approval (if not previously submitted) must be received at the School of Graduate Studies two weeks in advance of your defense. Talk over potential dates with your advisor and other committee members to ensure that you schedule an appropriate time for all involved.

Your entire committee must be physically present at your defense

The candidate and committee members must be physically present at the defense unless the program has developed clear guidelines and instructions by which the candidate or committee members may participate at a distance using real-time synchronous technology. Any technology used to facilitate distance participation by the candidate or committee members must be supported by UND, capable to real-time audio and video, compatible with "presentation" software, such as PowerPoint, and must be open and accessible to the candidate, committee and non-committee members.

What is included in the defense varies from department to department. Some departments have students present their dissertation research in a presentation with a question/answer period following. Your advisor should be able to help you prepare.

Your examination will be conducted by your Faculty Advisory Committee. It is also open to the other members of the Graduate Faculty and the academic community. Your examination will consist of an oral portion and possibly a written one as well.

Signatures on Approval Page and Final Report form

Although it will certainly cover your research, the examination is not restricted to your dissertation. Check with your advisor and department to see what is expected of you.

Pass/Fail

Your committee will indicate their endorsement of you and your work by signing the Approval Page of your dissertation. You may only repeat a failed examination with the consent of your committee and the dean. The results of your defense must be certified by your committee on a form titled “Final Report on Candidate” by the deadline specified on the Academic Calendar.

You may pass your examinations with one opposing vote. The person who objects to passing you must provide the School of Graduate Studies with a written report of his/her decision. In this situation, four signatures will be accepted on the final copy of your dissertation.

Step 10: (Master's)
**Submit the Final Copy of Your Independent Study (997),
Scholarly Project (995) or Design Project (595) to Your Advisor**
(If you wrote a thesis skip to Step 13)

*Recommended Time Frame: At least two to three weeks before commencement.
Check with your advisor for this timeline.*

As a non-thesis Master's student, you need to prepare a report on your independent study, scholarly project or design project for your advisor's approval. See your advisor or department for detailed information on what to include in the report. Check with your advisor to see how many copies your department needs. He/She will then certify that you completed your project by signing the "Final Report on Candidate" (Step 13), submitting the form to The School of Graduate Studies, and forwarding a grade to the Registrar's Office all by the deadline printed in the Academic Calendar. **Keep in mind that it is your responsibility to complete your Independent Study in time to meet these deadlines.** Do not rely on your advisor to remind you of due dates.

Step 10: (Doctoral)

Submit Electronic Dissertation to UMI/ProQuest Publishing

Recommended Time Frame: You must submit your dissertation by the published deadline for the term in which you plan to graduate

Electronic Submission

You will find all of the information you need on completing your dissertation on the School of Graduate Studies website, from completing paperwork, to format checking and electronically submitting your work through ProQuest.

- The [Overview of Manuscript Submission](#) page lets you know what you will need to do to prepare for your submission.
- You will upload your Dissertation in PDF format to the ProQuest ETD Administrator site. Please see the instructions on [How to Prepare your Manuscript for Submission](#).
- The [Electronic Dissertation Submission Guide for UND Graduate Students](#) will take you step by step through the ProQuest system.
- After your format has been checked by the School of Graduate Studies and you have made the required changes from your committee, you will submit the final version of your document electronically to UMI/ProQuest at www.etdadmin.com/und.
- **Once you electronically submit your final copy for publishing, no changes are made to the format or content. Therefore, the burden of how the manuscript looks is entirely the responsibility of the student author.**

Additional Copies

Check with your advisor, program director, or committee to see how many copies for your department are required. Many students also provide the members of their advisory committee with a copy of the final version of the dissertation. Some choose to have them hard bound. Others do not. For information on binding, see the Chester Fritz Library Periodicals Department. (777-4646). The Graduate Dean recommends that you keep at least one hard copy of your dissertation for your records in addition to the electronic files. You may want to refer to the document in the future.

Step 11: (Master's) Comprehensive Exams

Recommended Time Frame: Check with your department for this timeline

Written exam

If you are a Master's non-thesis student, you may have to take a written final comprehensive examination before you can graduate (check with your department to see if they require comprehensive exams). The examination will cover your major field but may, at your advisor's discretion, also cover various supporting areas. Talk to your advisor to see what is expected of you. He/She will be able to help you prepare.

Your examination will be arranged by your advisor. You will qualify to take the examination only after you have advanced to candidacy (Step 5) and if you are in satisfactory academic standing.

Submit results of the exam

The results of your exam must be certified by your committee on a form titled "Final Report on Candidate" by the deadline specified on the Academic Calendar (Step 13). This form is available at the School of Graduate Studies website. If you do not pass your examination, you may repeat it with the consent of your advisor, your department, and the School of Graduate Studies Dean, but at a date no earlier than the next regularly scheduled offering. Check with your advisor for more information.

Step 11: (Doctoral)

Your Committee Chair will submit the form “Final Report on Candidate” to the School of Graduate Studies

This form must be submitted this by the published deadline for the term in which you plan to graduate

Make sure you are aware of the deadline for submitting the Final Report.

Final Report on Candidate – Thesis, Dissertation, Research Report, or Professional Exhibition Option

This form certifies completion of your oral defense and the required comprehensive exams required for the degree, and also lists the title of your dissertation. The form is available on our website. Your advisor and committee members must indicate whether or not they found your performance at the examination to be satisfactory or unsatisfactory by signing their name in the appropriate column.

This form is the School of Graduate Studies verification from your advisor and committee that you have finished the requirements for the degree. **If this form is not received at the School of Graduate Studies by the published deadline, the School of Graduate Studies will have to remove your name from the graduation list and you will not be able to graduate that semester.** You will then need to submit a new on-line graduation application for the semester you plan to graduate.

Diplomas

Doctoral diplomas are awarded at commencement when you are hooded, if all requirements are met. If you do not attend commencement, they will be mailed out. Please be sure you have updated your home address on the Campus Connection system.

Step 12: (Master's)
**Your Advisor will submit the form “Final Report on Candidate”
to The School of Graduate Studies**

This form must be submit by the published deadline for the term in which you plan to graduate

Final Report on Candidate Non-Thesis Option	This form certifies completion of an independent study, scholarly project, or design project, as well as the subject, date, and result of your final examination(s). It is essentially a written record of your fulfillment of the requirements for the degree. Your advisor and the graduate director of your department must sign this form.
Final Report on Candidate Thesis, Research Report, or Professional Exhibition Option	This form certifies completion of your oral defense and the required comprehensive exams required for the degree. Your advisor and committee members must indicate whether or not they found your performance at the examination to be satisfactory or unsatisfactory by signing their name in the appropriate column.

This form is the School of Graduate Studies' verification from your advisor and/or committee that you have finished the requirements for the degree. **If this form is not received at the School of Graduate Studies by the published deadline, the School of Graduate Studies will remove your name from the graduation list and you will not be able to graduate that semester.** You must then submit another on-line application for graduation for the semester you plan to graduate.

Diplomas

Master's diplomas are mailed out approximately six to eight weeks after graduation. Please be sure you have updated your home address on the Campus Connection System. Also, please notify the School of Graduate Studies when your address changes.

Step 12: (Doctoral) Complete Required Survey

Ph.D. Students need to complete the Survey of Earned Doctorates (SED) online at:
<https://sed-ncses.org/GradDateRouter.aspx>

The School of Graduate Studies, as well as yourself, will receive a confirmation email after you have completed the survey.

APPENDIX

Graduate Appointments

GTA – GRA – GSA Assistantships

A Graduate Assistant (GA) is both a university student and an employee. There are a variety of appointments available to students including Graduate Teaching Assistant (GTA), Graduate Research Assistant (GRA), and Graduate Service Assistant (GSA). Although GA's are typically appointed on a half-time basis, some are appointed as quarter-time assistants. You must apply within your department for graduate assistantships. Check with your advisor or graduate director or your department. See the School of Graduate Studies website *Financial Assistance for Graduate Students* for detailed information on each of these positions.

Petitions

School of Graduate Studies Petition forms are available at the graduate school website. The student must clearly state what is being petitioned. If the petition involves a specific course, the course number must be listed.

Leave of Absence & Readmission

Degree and certificate seeking graduate students who wish to take a leave of absence from their program for fall or spring semester must notify their graduate program and the School of Graduate Studies by submission of a “*Readmission or Leave of Absence*” from Graduate Study in advance of their leave. **Students will be required to obtain the Graduate Director’s signature from their department prior to submitting the form to the School of Graduate Studies.**

Summer semester is not counted as a break in enrollment.

Students who do not submit a leave of absence will be required to submit a “*Readmission Application*” for School of Graduate Studies. Applications for readmission will be reviewed and approved by the program and the graduate dean. These forms can be found on our website.

FREQUENTLY ASKED QUESTIONS

Do I need to be continually enrolled?

No, this is not a School of Graduate Studies requirement, unless you are utilizing University services. However, some departments do require continuous enrollment so you do not lose your standing within the department. Check with your department for this requirement. You will need to be enrolled the semester you graduate.

Do I need to file a Leave of Absence or a Readmission application?

You will only need to file a “*Readmission or Leave of Absence*” form if you are not enrolled for the fall or spring semester (summer semester does not count as a break in enrollment).

Can I take undergraduate courses when I’m a graduate student?

Your main goal as a graduate student should be to complete courses pertinent to your program of study. Some programs allow students to take undergraduate courses in their cognate, and it is understood that the student will be required to do additional work, over and above that typically required of undergraduates.

Can I take courses for S/U grading?

Graduate students do not have the option of electing S/U grading in either graduate or undergraduate courses, unless that is the only way they are offered.

What happens if I can’t complete a course on time?

If you can’t complete a course on time because of something beyond your control, you may request to receive an incomplete grade. Your instructor may allow this if they feel that there is reasonable certainty that you will successfully complete the course without retaking it. There is a form called, “Report of Incomplete Grade”, that will need to be completed by the instructor. Please be aware that the work needs to be completed by the specified deadline that your instructor indicates on this form.

I received a D or F in one of my courses—can I retake it?

Courses taken by graduate students, for which a grade of D, F, or U was received, may be repeated once for credit, with only the second grade to count in the grade point average. This option does not apply to students who have been dismissed.

What happens if I fall below a 3.00 GPA?

If you fall below a 3.00 GPA your record will be reviewed. Students having accumulated 12 or more credit hours will be placed on academic probation for one semester; students having accumulated fewer than 12 credit hours will be placed on academic probation until either (a) the GPA is raised to at least 3.00 (2.75 for M. Engr) or (b) 12 graduate credit hours are accumulated, whichever occurs first. If, at the end of the probationary period, the GPA is still less than 3.00 (2.75 for M. Engr.), the student will be dismissed. Students must maintain a 3.00 GPA to be eligible for assistantships.

What is considered full time?

For students without an assistantship to be considered full time the minimum credits taken in the fall and spring semesters are 9 and during the summer semester are 6. To qualify for Federal Financial Aid, you must be registered in a minimum of 6 credits (half-time status).

What are the reasons for grad holds?

1. Prerequisites not completed on time as stated in your admission letter.
2. Enrolled in the maximum amount of 996 continuing enrollment credits
3. Failure to complete certain admission stipulations (ex. GRE tests)
4. Dismissals
5. Special Permission to Register

How do I get loan deferments and enrollment verification?

UND is using the National Student Clearinghouse for loan deferments and enrollment verification. Enrollment verifications can be printed from your CampusConnection.

How do I change my advisor or committee?

Complete the "Change of Advisor or Committee Appointment" form and submit it to The School of Graduate Studies with the proper signatures. Be sure the Graduate Director of your department also signs this form, or it will be sent back to you.

Will my credits for 995 Scholarly Project, 997 Independent Study, 998 Thesis, or 999 Dissertation remain an incomplete until I am finished?

Yes, they will remain an incomplete until your final copy is finished. A grade of “SP” Satisfactory Progress, or “UP” Unsatisfactory Progress, will be assigned. The “SP” or the “UP” grade, which usually span several semesters, will be replaced by a grade at the conclusion of the activity, usually a student’s final semester. Grades of “SP” or “UP” are not calculated into term or cumulative GPA values and will be expunged from the record upon submission of final grades.

What can I enroll in if I’m finishing my thesis?

If you have finished all your courses on your program of study, you can enroll in 996 continuing enrollment (1-9 credits) to finish your independent study, thesis, or dissertation. A maximum of 6-9 credits for two semesters for masters and four semesters for doctoral is allowed. The fee is \$100 per credit. Do not enroll in more 998 thesis credits, or 999 dissertation credits than are on your program of study.

How much does the electronic submission of my thesis/dissertation cost?

The only required charge when submitting your manuscript is for a hard-bound copy to be kept at the Chester Fritz Library. Other optional fees may be charged depending on the publishing agreement you choose and if you choose to register a copyright. You may also choose to order personal bound copies of your manuscript during the submission process for an additional fee. Please refer to our website for more information on the submittal process.

Master Degree Checklist

Please check our website for updated information and deadlines: graduateschool.und.edu

- Complete any requirements as stated in your admission letter, to move to approved status**
(This only applies to students admitted in provisional or qualified status)
- Select the Members of Your Faculty Advisory Committee** *(thesis only - Recommended: First or Second Semester)*
- Submit Your Program of Study** *(Recommended: First or Second Semester)*
- Submit a Topic Proposal of Your Research**
(As early as possible, but no later than the semester before you plan to graduate)
- Advance to Candidacy**
(You must be advanced to candidacy the semester before you plan to graduate. You will be advanced to candidacy when all the above requirements are met, and you have completed at least 12 graduate credits with a 3.0 GPA.)
- Apply to Graduate** *(You must apply by the published deadline for the term in which you plan to graduate)*

Graduation Requirements for Independent Study, Scholarly Project or Design Project

- Submit the final copy of your independent study, scholarly project or design project to your advisor**
Check with your advisor for this requirement. He/she will need sufficient time to read before the Final Report Deadline.
- Complete Comprehensive Exams** *(If applicable, **check** with your department for this requirement)*
- “Final Report” on Candidate form will be submitted to the School of Graduate Studies.**
(This form will be submitted to the School of Graduate Studies by your Advisor, and by the published deadline for the term in which you plan to graduate)

Graduation Requirements for Thesis Completion

- Submit Preliminary Approval and Notice of Defense forms to the School of Graduate Studies**
(Forms must be submitted to the School of Graduate Studies at least two weeks prior to your scheduled defense. Check the published deadline for the term in which you plan to graduate.)
- Format Review** - The student and his/her committee are jointly responsible for seeing to it that the thesis follows a correct form of scholarly style and usage. Formatters/editors are available for hire – if you would like a list of names, please contact the School of Graduate Studies.
- Hold your oral defense.** Form to bring to your defense for committee signatures:
Approval page – Please submit this page to the School of Graduate Studies after your defense.
(Last day to defend is the published deadline for the term in which you plan to graduate)
- Submit Electronic PDF of Thesis to UMI/ProQuest for Publishing.** *(Submit by the published term deadline)*
- “Final Report” on Candidate form will be submitted to the School of Graduate Studies.**
(This form will be submitted to the School of Graduate Studies by your Advisor, and by the published deadline for the term in which you plan to graduate)

Doctoral Degree Checklist

Please check our website for updated information and deadlines: graduateschool.und.edu

- Complete any pre-requisites as stated in your admission letter.**
- Select the Chair and Members of Your Faculty Advisory Committee**
(Recommended: Second Semester)
- Submit Your Program of Study** *(Recommended: Second Semester)*
- Complete Comprehensive Exams**
(To be taken once a substantial amount of coursework and scholarly tools have been completed)
- Submit a Topic Proposal of Your Research**
(As early as possible, but no later than the semester before you plan to graduate.)
- Advance to Candidacy**
(At least one semester prior to graduation. When all the above requirements are met, you will be advanced to candidacy)
- Apply to Graduate**
(You must apply by the published deadline for the term in which you plan to graduate)

Graduation Requirements for Dissertation Completion

- Submit Preliminary Approval and Notice of Defense forms to the School of Graduate Studies**
(Forms must be submitted to the School of Graduate Studies at least two weeks prior to your scheduled defense. Check the published deadline for the term in which you plan to graduate.)
- Format Review** - The student and his/her committee are jointly responsible for seeing to it that the dissertation follows a correct form of scholarly style and usage. Formatters/editors are available for hire – if you would like a list of names, please contact the School of Graduate Studies.
- Hold your oral defense.** Form to bring to your defense for committee signatures:
Approval page – Please submit this page to the School of Graduate Studies after your defense.
(Last day to defend is the published deadline for the term in which you plan to graduate)
- Submit Electronic PDF of Dissertation to UMI/ProQuest for Publishing.** *(Submit by the published term deadline)*
- “Final Report” on Candidate form will be submitted to the School of Graduate Studies.**
(This form will be submitted to the School of Graduate Studies by your Advisor, and by the published deadline for the term in which you plan to graduate)
- Complete Survey of Earned Doctorates (Ph.D. students only, this does not apply to Ed.D. or D.A. students).**
The online application can be found at <https://sed-ncses.org/GradDateRouter.aspx>
The School of Graduate Studies, as well as yourself, will receive email confirmation after you have completed the survey.